

13043

2872

**FACSIMILE COMMUNICATIONS**

DISCOVISION ASSOCIATES

2355 Main Street, Suite 200, Irvine, CA 92714

TELEPHONE: (949) 660-5000

FAX (949) 660-1801

TO: Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: October 29, 2003

Number of Pages: Cover + 10

ATTN: Examiner Lavarias, Arnel C.

TELEPHONE NO. 1 (703) 308-1782

FAX NO. 1 (703) 308-7382

FROM: Mr. Richard Stokey, Esq.

PLEASE CONTACT US IF ANY PAGES  
ARE ILLEGIBLE OR ARE NOT RECEIVED

Our Docket No.: DE 2305.02 US

**PLEASE ACKNOWLEDGE RECEIPT OF THE  
FOLLOWING DOCUMENTS.**

**ATTN: Examiner Lavarias, Arnel C.****SERIAL NO.: 09/866,337**

Enclosed please find the paperwork for an Amendment. If you should have any questions or comments,  
please contact me at (949) 660-5006.

Thank you for your courtesy and cooperation pertaining to this matter.

Richard Stokey  
Patent Prosecution Attorney  
Discovision Associates  
Registration No. 40,383

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October 29, 2003

**VIA FACSIMILE**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CUSTOMER NUMBER

**22887**

PATENT TRADEMARK OFFICE

Re: U.S. Application No.: 09/866,337  
Filed: May 25, 2001  
Entitled: OPTICAL APPARATUS FOR REFLECTING LIGHT BEAMS TO  
AN IDENTICAL OPTICAL AXIS (AS AMENDED)  
Inventor: Darren Chen  
Our File No.: DE 2305.02 US

Dear Sir:

Enclosed for filing in the above-referenced patent are the following documents:

1. Transmittal Form;
2. Fee Transmittal;
3. Amendment (Page 1 - 5);
4. Letter to Official Draftsman;
5. Replacement Sheet 9, Figure 9;
6. Cover Letter, and
7. Certificate of Facsimile dated October 29, 2003.

Please acknowledge receipt of this transmittal.

Very truly yours,

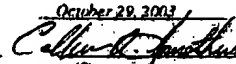
DISCOVISION ASSOCIATES

  
Richard Stokey  
Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

RS:cs

Enclosures

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<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b>	
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No. <u>303 308 2722</u> ) on <u>October 29, 2003</u>	
<u>Calleen A. Spethers</u> (Type or print name)	<u></u> (Signature)

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/866,337
	Filing Date	May 25, 2001
	First Named Inventor	Darren Chen
	Group Art Unit	2872
	Examiner Name	Lavaras, Arnel C.
Total Number of Pages in This Submission	Attorney Docket Number	DE 2305.02 US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Cover Letter
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard J. Stokey, Reg. No. 40,383
Signature	<i>[Signature]</i>
Date	October 29, 2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____	
Typed or printed name	
Signature	<i>[Signature]</i>
Date	10/28/03

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FEE TRANSMITTAL for FY 2004		Complete if Known	
Effective 10/01/2003. Patent fees are subject to annual revision.		Application Number	09/866,337
		Filing Date	May 25, 2001
		First Named Inventor	Darren Chen
		Examiner Name	Lavarias, Arnel C.
		Art Unit	2872
		Attorney Docket No.	DE 2305.02 US
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR §1.27			
TOTAL AMOUNT OF PAYMENT (\$)		0	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																																																		
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: <u>04-1175</u> Deposit Account Name: <u>DISCOVISION ASSOCIATES</u> The Director is hereby authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below except for the filing fee to the above-identified deposit account	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse; font-size: 0.7em;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee \$</th> <th>Fee Code</th> <th>Fee \$</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>60</td><td>2052</td><td>26</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1808</td><td>180</td><td>1808</td><td>180</td><td>Submission of Information Disclosure Stmt.</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Filing a submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee \$	Fee Code	Fee \$	1051	130	2051	65	Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name Printed/Typed	Richard J. Stokely	Registration No.	40,383
Signature		Attorney / Agent	
		Telephone	(949) 660-5006
		Date	10/29/03

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#14  
11-53  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	§	Confirmation No. 4860
	§	
Darren Chen	§	Art Unit: 2872
	§	
Filed: May 25, 2001	§	Examiner: LAVARIAS, Amel C.
	§	
Serial No.: 09/866,337	§	Attorney Docket No.
	§	DE 2305.02 US
For: OPTICAL APPARATUS	§	
FOR REFLECTING LIGHT	§	
BEAMS TO AN IDENTICAL	§	
OPTICAL AXIS (AS	§	
AMENDED)	§	

Commissioner for Patents  
Alexandria, VA 22313-1450  
Attn: Official Draftsman

**LETTER TO OFFICIAL DRAFTSMAN**

Sir:

Transmitted herewith is 1 replacement sheet of a final drawing titled Figure 9.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1175.

Respectfully submitted,

DISCOVISION ASSOCIATES



Richard J. Stokey, Reg. No. 40,383

Date: October 29, 2003

DISCOVISION ASSOCIATES  
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